

BAADER HELVEA INC.

SEC RULE 17a-3(a)(18) - CUSTOMER INQUIRIES

Dear Client or Investor:

SEC Rule 17a-3(a)(18) requires our Firm to maintain a record indicating that each client or investor has been provided with a notice containing the address to which you may transmit any inquiries that you may have respecting our Firm.

Additionally, our Firm must ensure that you are provided with a notice indicating the telephone number and the address at our Firm to which such inquiries may be directed.

BAADER HELVEA INC. hereby provides the following address and telephone number to which any customer inquiries may be directed:

BAADER HELVEA INC.
Attn: CCO
Suite 804,
420 Lexington Avenue,
New York,
NY 10170
Tel (212) 935-5150